



**District of Columbia Agencies' Compliance with
Fiscal Year 2016 Small Business Enterprise
Expenditure Goals through the 3rd Quarter of
Fiscal Year 2016**

December 22, 2016

Audit Team:

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**A Report by the Office of the District of Columbia Auditor
Kathleen Patterson, District of Columbia Auditor**



The Honorable Phil Mendelson, Chairman
Council of the District of Columbia
1350 Pennsylvania Ave., N.W., Suite 504
Washington, DC 20004

Letter Report: District of Columbia Agencies' Compliance with Fiscal Year 2016 Small Business Enterprise Expenditure Goals through the 3rd Quarter of Fiscal Year 2016.

Dear Chairman Mendelson:

The Office of the District of Columbia Auditor (ODCA) examined and assessed District of Columbia government agencies' compliance with fiscal year (FY) 2016 Small Business Enterprise (SBE) expenditure goals through the 3rd quarter of FY 2016 (October 1, 2015 through June 30, 2016).¹

Objectives, Scope and Methodology

The objectives of this examination were to determine whether:

1. District agencies met the required goal of procuring 50 percent of their expendable budgets with SBEs through the 3rd quarter of FY 2016;² and
2. District agencies complied with SBE goal establishment and expenditure reporting requirements.³

The examination covered the period October 1, 2015 through June 30, 2016. We did not conduct the examination as an audit as defined by the Government Accountability Office's Government Auditing Standards.

In conducting this examination, we reviewed 88 agencies, offices and programs⁴ that the Department of Small and Local Business Development (DSLBD) determined were required to (1) procure 50 percent of their expendable budget for goods and services from SBEs each fiscal year and (2) submit quarterly SBE expenditure reports, as well as an annual report, to DSLBD and ODCA. We reviewed appropriated budgets, expendable budgets, and reported SBE goals for each agency. We also verified vendors in DSLBD's CBE Online System and reviewed DSLBD's QuickBase data tracking system.

¹ See D.C. Official Code § 2-218.41.

² Ibid.

³ §2-218.53(a) and (b).

⁴ DSLBD QuickBase Data Tracking System as of September 13, 2016, with the exception of budget/goal data which reflects data reported in Quickbase as of March 3, 2016.

For 82 of the 88 agencies, we reviewed their SBE expenditures using the District’s System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement’s Purchase Card (P-Card) data. Six of the 88 agencies do not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data for the following agencies:

1. Events DC
2. Housing Finance Agency
3. Not-for-Profit Hospital Corporation (United Medical Center)
4. D.C. Public Charter School Board
5. D.C. Retirement Board
6. The University of the District of Columbia

For the agencies that submitted required quarterly reports, we verified that the submitted expenditures were spent with certified SBEs at the time of the expenditure.

Background

The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (the Act), as amended, states that “it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in the District of Columbia, particularly certified business enterprises, with the intended goals of:

- (A) Stimulating and expanding the local tax base of the District of Columbia;
- (B) Increasing the number of viable employment opportunities for District residents; and
- (C) Extending economic prosperity to local business owners, their employees, and the communities they serve.”

The Act tasks DSLBD with providing “advocacy, business development programs, and technical assistance offerings” that will “maximize opportunities for certified business enterprises to participate in:

- (A) The District's contracting and procurement process;
- (B) The District's economic development activities; and
- (C) Federal and private sector business opportunities.”⁵

The Act also established the following categories of businesses: Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with

⁵ See D.C. Official Code §2-218.13(a).

Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Business Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs).⁶

The Act requires each District agency to contract 50 percent of the dollar volume of its goods and services to SBEs each fiscal year.⁷ Additionally, it requires agencies to submit quarterly SBE expenditure reports to DSLBD and ODCA. The Act contains no expenditure requirements for LBEs, DBEs, DZEs, ROBs, LRBs, LMEs, or VOBs.

To establish FY 2016 SBE goals, DSLBD required each agency to access QuickBase, DSLBD's electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in QuickBase, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD's approval, the QuickBase system subtracted the exclusions from the agency's appropriated budget to determine the agency's "expendable budget," that is, the amount of the total budget that could be considered discretionary and thus appropriate to use in determining the agency's SBE goal. To determine the SBE goal, the QuickBase system multiplied the expendable budget by 50 percent.

Results of the Auditor's Examination

According to DSLBD's QuickBase database as of September 13, 2016, the 88 agencies we assessed had a total FY 2016 reported appropriated budget of \$11,125,237,915 and a total reported expendable budget for goods and services of \$621,772,566. Based on the expendable budget of \$621,772,566, the FY 2016 SBE expenditure goal for the 88 agencies was \$310,886,283.⁸

The 88 agencies' FY 2016 reported appropriated budgets, expendable budgets and reported SBE goals are listed in Appendix I.

3rd Quarter Results

We found that the 88 agencies, as a whole, spent a total of \$121,156,937 with SBEs through the 3rd quarter of FY 2016 or 39% towards the \$310,886,283 total FY 2016 SBE expenditure goal for all of the 88 agencies. The FY 2016 2nd and 3rd quarter SBE expenditures for each of the 88 agencies are listed in Appendix I.

Total FY 2016 expenditures of the 88 agencies, through the 3rd quarter of FY 2016, on Local Business Enterprises, Small Business Enterprises, Disadvantaged Business Enterprises, Local Business Enterprises with Principle Offices Located in an Enterprise Zone, Resident-Owned Businesses, Longtime Resident

⁶ See D.C. Official Code §2-218.31 et seq.

⁷ See D.C. Official Code § 2-218.41.

⁸ All numbers are rounded to the nearest dollar. They are sourced from the DSLBD QuickBase Data Tracking System as of March 3, 2016

Businesses, Local Manufacturing Business Enterprises, and Veteran Owned Businesses are presented in Appendix II.

According to DSLBD's QuickBase database, as of September 21, 2016, six agencies did not submit the required quarterly expenditure report for the 2nd quarter of FY 2016 and seven agencies did not submit the required quarterly expenditure report for the 3rd quarter. All agencies that failed to submit the required expenditure report for the 1st, 2nd and 3rd quarter of FY 2016 are listed in Appendix III.

Of the 88 agencies, 18 agencies met or exceeded their reported annual FY 2016 SBE expenditure goals by the end of the 3rd quarter of FY 2016, some by extraordinary margins, which raises questions about the validity of the reported expendable budgets of those 18 agencies. The 18 agencies that exceeded their FY 2016 expenditure goal by the end of the 3rd quarter are presented in Figure 1.

Figure 1
Agencies that Exceeded their FY 2016 SBE Expenditure Goal by the end of the 3rd Quarter

	Agency	Total FY 2016 Expenditures	Reported SBE Goal	% of SBE Goal Achieved
1	Office of Contracting and Procurement	\$4,615,643	\$395,192	1168%
2	Employees' Compensation Fund	\$259,924	\$50,000	520%
3	Board of Elections	\$417,513	\$84,925	492%
4	Office of the State Superintendent of Education	\$3,497,746	\$803,190	435%
5	Office of the Deputy Mayor for Education	\$371,581	\$217,130	171%
6	D.C. Health Benefit Exchange Authority	\$16,089,053	\$9,428,357	171%
7	D.C. Office of Risk Management	\$92,303	\$54,306	170%
8	Office of Human Rights	\$91,653	\$54,753	167%
9	Alcoholic Beverage Regulation Administration	\$262,949	\$173,609	151%
10	Department of Public Works	\$5,114,528	\$3,801,559	135%
11	Office of the Deputy Mayor for Public Safety and Justice	\$27,938	\$22,682	123%
12	District of Columbia Retirement Board	\$1,155,798	\$955,804	121%
13	Office of the Chief Medical Examiner	\$294,338	\$249,001	118%
14	Child and Family Services Agency	\$1,679,579	\$1,453,381	116%
15	Office of Film, Television, and Entertainment	\$145,513	\$132,401	110%

	Agency	Total FY 2016 Expenditures	Reported SBE Goal	% of SBE Goal Achieved
16	D.C. Lottery and Charitable Games Control Board	\$4,943,513	\$4,564,874	108%
17	Special Education Transportation	\$971,808	\$911,165	107%
18	Public Employee Relations Board	\$9,414	\$9,323	101%

Recommendations

Within 30 days of the release of this report:

1. DSLBD should notify (1) the Chairman of the Council Committee responsible for providing oversight of the non-compliant agency, and (2) the Mayor that the 13 agencies listed in this report did not submit the required expenditure report for the 2nd and/or 3rd quarters of FY 2016.
2. DSLBD should review the SBE goals, procurement plans, and purchases of the 18 agencies that exceeded their FY 2016 goals by the end of the 3rd quarter of FY 2016.

Conclusion

Based on our examination, we found that 88 agencies collectively spent \$121,156,937 with SBEs through the 3rd quarter of FY 2016 or 39% of the cumulative reported SBE goal of \$310,886,283.

Sincerely,

Kathleen Patterson
District of Columbia Auditor

Appendices

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Fiscal Year 2016 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd and 3 rd Quarter SBE Expenditures	Total FY 2016 SBE Expenditures	% of SBE Goal Achieved
1	Administrative Hearings, Office of	\$10,220,111	\$319,055	\$159,528	\$2,848	\$84,461	\$87,309	55%
2	Advisory Neighborhood Commissions, Office of	\$926,616	\$15,305	\$7,652	\$0	\$0	\$0	0%
3	Aging, Office on	\$41,212,882	\$4,252,010	\$2,126,005	\$450,230	\$1,122,690	\$1,572,920	74%
4	Alcoholic Beverage Regulation Administration	\$8,141,975	\$347,218	\$173,609	\$4,890	\$258,059	\$262,949	151%
5	Arts and Humanities, Commission on	\$16,955,248	\$1,693,367	\$846,684	\$96,343	\$322,322	\$418,665	49%
6	Asian and Pacific Islander Affairs, Office on	\$834,599	\$0	\$0	\$1,137	\$8,453	\$9,590	N/A
7	Attorney General for the District of Columbia, Office of the	\$84,029,159	\$2,276,964	\$1,138,482	\$190,443	\$284,206	\$474,649	42%
8	Auditor, Office of the D.C.	\$4,663,341	\$118,590	\$59,295	\$769	\$924	\$1,693	3%
9	Behavioral Health, Department of	\$272,717,341	\$39,371,881	\$19,685,941	\$960,700	\$3,416,960	\$4,377,660	22%
10	Campaign Finance, Office of	\$2,704,259	\$98,017	\$49,008	\$0	\$35,602	\$35,602	73%
11	Captive Insurance Agency	\$1,488,533	\$11,000	\$5,500	\$0	\$0	\$0	0%
12	Chief Financial Officer, Office of the	\$186,433,828	\$31,744,054	\$15,872,027	\$1,092,067	\$4,520,532	\$5,612,599	35%
13	Chief Medical Examiner, Office of the	\$10,558,008	\$498,002	\$249,001	\$34,622	\$259,716	\$294,338	118%
14	Chief Technology Officer, Office of the	\$111,493,707	\$44,052,293	\$22,026,146	\$1,888,352	\$4,861,765	\$6,750,117	31%

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Fiscal Year 2016 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd and 3 rd Quarter SBE Expenditures	Total FY 2016 SBE Expenditures	% of SBE Goal Achieved
15	Child and Family Services Agency	\$244,836,996	\$2,906,761	\$1,453,381	\$167,757	\$1,511,822	\$1,679,579	116%
16	City Administrator, Office of the	\$6,419,861	\$96,065	\$48,033	\$4,853	\$13,118	\$17,971	37%
17	Consumer & Regulatory Affairs, Department of	\$44,011,682	\$6,892,287	\$3,446,144	\$264,539	\$1,531,296	\$1,795,835	52%
18	Contract Appeals Board	\$1,449,107	\$54,460	\$27,230	\$0	\$2,050	\$2,050	8%
19	Contracting and Procurement, Office of	\$22,822,939	\$790,384	\$395,192	\$46,706	\$4,568,937	\$4,615,643	1168%
20	Corrections, Department of	\$151,918,875	\$12,898,979	\$6,449,490	\$528,352	\$1,746,192	\$2,274,544	35%
21	Corrections Information Council	\$482,292	\$42,845	\$21,423	\$0	\$0	\$0	0%
22	Criminal Justice Coordinating Council	\$3,142,347	\$1,182,622	\$591,311	\$101,349	\$227,766	\$329,115	56%
23	Disability Rights, Office of	\$1,713,394	\$32,994	\$16,497	\$1,361	\$1,942	\$3,303	20%
24	Disability Services, Department on	\$162,424,805	\$2,066,693	\$1,033,347	\$68,190	\$447,746	\$515,936	50%
25	Education, Deputy Mayor for	\$3,571,327	\$434,260	\$217,130	\$105,922	\$265,659	\$371,581	171%
26	Elections, Board of	\$7,390,254	\$169,851	\$84,925	\$2,275	\$415,238	\$417,513	492%
27	Employee Appeals, Office of	\$1,744,654	\$64,290	\$32,145	\$2,008	\$352	\$2,360	7%
28	Employees' Compensation Fund	\$20,221,002	\$100,000	\$50,000	\$43,289	\$216,635	\$259,924	520%
29	Employment Services, Department of	\$142,202,971	\$45,569,868	\$22,784,934	\$181,039	\$1,103,850	\$1,284,889	6%

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	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd and 3 rd Quarter SBE Expenditures	Total FY 2016 SBE Expenditures	% of SBE Goal Achieved
30	Environment, District Department of the	\$124,064,699	\$5,015,810	\$2,507,905	\$64,991	\$648,101	\$713,092	28%
31	Ethics and Government Accountability, D.C. Board of	\$1,773,892	\$28,685	\$14,343	\$0	\$10,150	\$10,150	71%
32	Events DC	\$129,670,491	\$25,038,672	\$12,519,336	\$800,445	\$2,227,256	\$3,027,701	24%
33	Film, Television & Entertainment, Office of	\$13,601,488	\$264,802	\$132,401	\$0	\$145,513	\$145,513	110%
34	Finance and Resource Management, Office of	\$36,512,223	\$155,640	\$77,820	\$0	\$7,523	\$7,523	10%
35	Fire and Emergency Medical Services Department	\$248,797,521	\$6,495,835	\$3,247,918	\$487,856	\$1,177,452	\$1,665,308	51%
36	Forensic Sciences, Department of	\$15,250,670	\$1,168,513	\$584,257	\$27,177	\$306,628	\$333,805	57%
37	General Services, Department of	\$576,583,939	\$74,698,865	\$37,349,432	\$844,180	\$6,059,880	\$6,904,060	18%
38	Greater Economic Opportunity, Deputy Mayor For	\$698,000	\$98,000	\$49,000	\$0	\$0	\$0	0%
39	Health and Human Services, Deputy Mayor for	\$1,390,873	\$164,026	\$82,013	\$7,259	\$35,659	\$42,918	52%
40	Health Benefit Exchange Authority, D.C.	\$32,513,186	\$18,856,714	\$9,428,357	\$13,975	\$16,075,078	\$16,089,053	171%
41	Health Care Finance, Department of	\$3,031,114,985	\$15,995,282	\$7,997,641	\$2,451,273	\$4,966,567	\$7,417,840	93%
42	Health, Department of	\$261,768,733	\$13,184,687	\$6,592,344	\$583,816	\$4,433,629	\$5,017,445	76%

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Fiscal Year 2016 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd and 3 rd Quarter SBE Expenditures	Total FY 2016 SBE Expenditures	% of SBE Goal Achieved
43	Homeland Security and Emergency Management Agency	\$132,743,592	\$1,729,140	\$864,570	\$143,268	\$487,697	\$630,965	73%
44	Homeland Security Grants	\$4,133,652	\$0	\$0	\$25,409	\$262,712	\$288,121	N/A
45	Housing Finance Agency	\$10,798,000	\$3,026,870	\$1,513,435	\$20,552	\$89,967	\$110,519	7%
46	Housing and Community Development, Department of	\$285,210,440	\$7,294,568	\$3,647,284	\$84,430	\$901,666	\$986,096	27%
47	Human Resources, D.C. Department of	\$13,829,689	\$1,793,800	\$896,900	\$51,660	\$92,760	\$144,420	16%
48	Human Rights, Office of	\$4,007,892	\$109,506	\$54,753	\$1,009	\$90,644	\$91,653	167%
49	Human Services, Department of	\$505,218,994	\$19,371,619	\$9,685,809	\$198,762	\$2,378,786	\$2,577,548	27%
50	Inspector General, Office of the	\$17,215,212	\$840,567	\$420,283	\$2,320	\$78,802	\$81,122	19%
51	Insurance, Securities and Banking, Department of	\$25,820,775	\$1,267,984	\$633,992	\$101	\$64,020	\$64,121	10%
52	Judicial Nomination Commission	\$270,000	\$3,500	\$1,750	\$0	\$0	\$0	0%
53	Latino Affairs, Office on	\$3,177,309	\$107,162	\$53,581	\$1,339	\$15,321	\$16,660	31%
54	Legal Counsel, Mayor's Office of	\$1,596,088	\$8,600	\$4,300	\$0	\$0	\$0	0%
55	Lottery & Charitable Games Control Board, D.C.	\$220,000,000	\$9,129,748	\$4,564,874	\$1,254,378	\$3,689,135	\$4,943,513	108%
56	Mayor, Executive Office of the	\$11,518,511	\$610,074	\$305,037	\$7,596	\$80,663	\$88,259	29%

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Fiscal Year 2016 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd and 3 rd Quarter SBE Expenditures	Total FY 2016 SBE Expenditures	% of SBE Goal Achieved
57	Metropolitan Police Department	\$542,668,588	\$16,300,534	\$8,150,267	\$539,123	\$2,422,508	\$2,961,631	36%
58	Motor Vehicles, Department of	\$49,147,580	\$2,884,795	\$1,442,397	\$77,192	\$651,494	\$728,686	51%
59	National Guard, D.C.	\$13,316,645	\$962,328	\$481,164	\$13,829	\$396,936	\$410,765	85%
60	Not-for-Profit Hospital Corporation (United Medical Center)	\$129,000,000	\$17,882,898	\$8,941,449	\$141,300	\$0	\$141,300	2%
61	Parks and Recreation, Department of	\$90,539,859	\$48,907,317	\$24,453,659	\$5,737	\$219,471	\$225,208	1%
62	People's Counsel, Office of the	\$7,898,091	\$945,364	\$472,682	\$45,069	\$182,151	\$227,220	48%
63	Planning and Economic Development, Office of the Deputy Mayor for	\$115,387,899	\$5,905,250	\$2,952,625	\$37,497	\$537,025	\$574,522	19%
64	Planning, Office of	\$10,312,367	\$438,598	\$219,299	\$7,910	\$42,388	\$50,298	23%
65	Police Complaints, Office of	\$2,291,634	\$104,182	\$52,091	\$954	\$15,924	\$16,878	32%
66	Public Charter School Board, District of Columbia	\$8,000,000	\$5,138,730	\$2,569,365	\$34,725	\$0	\$34,725	1%
67	Public Employee Relations Board	\$1,273,910	\$18,646	\$9,323	\$2,765	\$6,649	\$9,414	101%
68	Public Library, District of Columbia	\$78,497,378	\$12,673,900	\$6,336,950	\$482,527	\$1,160,109	\$1,642,636	26%
69	Public Safety and Justice, Office of the Deputy Mayor for	\$845,827	\$45,365	\$22,682	\$6,346	\$21,592	\$27,938	123%

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	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd and 3 rd Quarter SBE Expenditures	Total FY 2016 SBE Expenditures	% of SBE Goal Achieved
70	Public Schools, D.C.	\$1,234,416,092	\$36,726,857	\$18,363,429	\$2,343,193	\$5,966,490	\$8,309,683	45%
71	Public Service Commission	\$13,186,187	\$748,620	\$374,310	\$75,275	\$196,156	\$271,431	73%
72	Public Works, Department of	\$162,239,753	\$7,603,118	\$3,801,559	\$1,335,112	\$3,779,416	\$5,114,528	135%
73	Real Property Tax Appeals Commission	\$1,635,856	\$38,679	\$19,340	\$752	\$5,494	\$6,246	32%
74	Retirement Board, D.C.	\$32,301,624	\$1,911,607	\$955,804	\$383,475	\$772,323	\$1,155,798	121%
75	Risk Management, D.C. Office of	\$2,923,299	\$108,612	\$54,306	\$61,288	\$31,015	\$92,303	170%
76	Secretary, Office of the	\$5,462,633	\$286,643	\$143,322	\$1,109	\$3,844	\$4,953	3%
77	Small and Local Business Development, Department of	\$10,338,186	\$803,825	\$401,912	\$4,887	\$88,468	\$93,355	23%
78	Special Education Transportation	\$103,693,134	\$1,822,331	\$911,165	\$49,094	\$922,714	\$971,808	107%
79	State Board of Education, DC	\$1,181,926	\$102,649	\$51,325	\$0	\$3,725	\$3,725	7%
80	State Superintendent of Education, Office of the	\$456,533,526	\$1,606,380	\$803,190	\$832,492	\$2,665,254	\$3,497,746	435%
81	Taxicab Commission, D.C.	\$8,598,976	\$1,575,309	\$787,655	\$6,043	\$537,382	\$543,425	69%
82	Tenant Advocate, Office of the	\$2,907,415	\$111,864	\$55,932	\$18	\$44,487	\$44,505	80%
83	Transportation, Department of	\$448,447,094	\$11,145,365	\$5,572,683	\$280,530	\$761,202	\$1,041,732	19%
84	Unified Communications, Office of	\$45,467,618	\$2,072,750	\$1,036,375	\$182,277	\$517,692	\$699,969	68%

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Fiscal Year 2016 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd and 3 rd Quarter SBE Expenditures	Total FY 2016 SBE Expenditures	% of SBE Goal Achieved
85	University of the District of Columbia	\$161,467,906	\$29,910,129	\$14,955,065	\$2,997,218	\$2,706,904	\$5,704,122	38%
86	Veterans' Affairs, Office of	\$418,959	\$55,988	\$27,994	\$0	\$1,046	\$1,046	4%
87	Youth Rehabilitation Services, Department of	\$106,019,731	\$8,121,854	\$4,060,927	\$307,525	\$1,304,740	\$1,612,265	40%
88	Zoning, Office of	\$2,805,257	\$253,294	\$126,647	\$9,874	\$61,463	\$71,337	56%
		\$11,125,237,915	\$621,772,566	\$310,886,283	\$23,576,973	\$97,579,964	\$121,156,937	39%

SOURCE: QuickBase, SOAR, P-Card and CBE Online data as of September 13, 2016. Budget/goal data as of March 3, 2016. All numbers are rounded to the nearest dollar.

Appendix II

Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
1	Administrative Hearings, Office of	\$87,309	\$87,309	\$40,414	\$62,760	\$87,309	\$20,112	\$0	\$36,621
2	Advisory Neighborhood Commissions, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
3	Aging, Office on	\$1,572,920	\$1,572,920	\$1,568,540	\$1,568,540	\$15,737	\$4,380	\$0	\$0
4	Alcoholic Beverage Regulation Administration	\$262,949	\$262,949	\$13,512	\$27,791	\$28,421	\$57,635	\$0	\$2,974
5	Arts and Humanities, Commission on	\$418,665	\$418,665	\$355,833	\$183,275	\$368,216	\$54,114	\$0	\$0
6	Asian and Pacific Islander Affairs, Office on	\$9,590	\$9,590	\$5,700	\$9,303	\$0	\$0	\$0	\$0
7	Attorney General for the District of Columbia, Office of the	\$474,649	\$474,649	\$161,446	\$392,854	\$168,915	\$273,381	\$0	\$52,347
8	Auditor, Office of the D.C.	\$1,693	\$1,693	\$0	\$1,268	\$1,268	\$0	\$0	\$0

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Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
9	Behavioral Health, Department of	\$4,377,660	\$4,377,660	\$1,943,645	\$2,563,027	\$802,259	\$1,140,978	\$0	\$85,307
10	Campaign Finance, Office of	\$35,602	\$35,602	\$34,557	\$35,602	\$5,400	\$0	\$0	\$1,045
11	Captive Insurance Agency	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
12	Chief Financial Officer, Office of the	\$9,361,268	\$5,612,599	\$3,537,651	\$4,730,333	\$3,402,211	\$1,577,457	\$0	\$78,433
13	Chief Medical Examiner, Office of the	\$296,270	\$294,338	\$268,829	\$243,939	\$77,404	\$216,958	\$0	\$15,758
14	Chief Technology Officer, Office of the	\$6,750,117	\$6,750,117	\$4,791,063	\$4,692,348	\$4,131,463	\$589,891	\$0	\$28,982
15	Child and Family Services Agency	\$1,679,579	\$1,679,579	\$927,180	\$1,150,933	\$855,488	\$157,080	\$0	\$83,980
16	City Administrator, Office of the	\$17,971	\$17,971	\$17,058	\$11,253	\$16,967	\$0	\$0	\$0
17	Consumer & Regulatory Affairs, Department of	\$1,795,835	\$1,795,835	\$1,254,778	\$1,087,530	\$1,262,287	\$718,158	\$0	\$0
18	Contract Appeals Board	\$2,050	\$2,050	\$2,050	\$2,050	\$2,050	\$2,050	\$0	\$0

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Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
19	Contracting and Procurement, Office of	\$9,987,994	\$4,615,643	\$1,647,415	\$9,165,774	\$1,394,689	\$7,778,055	\$5,372,351	\$340
20	Corrections, Department of	\$2,311,763	\$2,274,544	\$558,774	\$1,304,088	\$370,932	\$233,098	\$0	\$4,996
21	Corrections Information Council	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
22	Criminal Justice Coordinating Council	\$329,115	\$329,115	\$318,967	\$325,512	\$315,972	\$0	\$0	\$435
23	Disability Rights, Office of	\$3,303	\$3,303	\$1,277	\$1,942	\$0	\$1,277	\$0	\$0
24	Disability Services, Department on	\$515,936	\$515,936	\$169,138	\$411,970	\$218,250	\$254,835	\$0	\$80,977
25	Education, Deputy Mayor for	\$371,581	\$371,581	\$272,777	\$371,581	\$240,246	\$0	\$0	\$0
26	Elections, Board of	\$417,513	\$417,513	\$156,094	\$373,292	\$190,698	\$73,814	\$0	\$40,910
27	Employee Appeals, Office of	\$2,360	\$2,360	\$0	\$484	\$484	\$0	\$0	\$0
28	Employees' Compensation Fund	\$259,924	\$259,924	\$211,308	\$130,635	\$253,872	\$12,469	\$0	\$0

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Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
29	Employment Services, Department of	\$1,284,889	\$1,284,889	\$723,164	\$787,532	\$873,405	\$388,530	\$0	\$11,067
30	Environment, District Department of the	\$713,092	\$713,092	\$287,245	\$623,548	\$332,747	\$190,228	\$0	\$27,797
31	Ethics and Government Accountability, D.C. Board of	\$10,150	\$10,150	\$1,000	\$10,066	\$10,066	\$0	\$0	\$0
32	Events DC	\$3,626,978	\$3,027,701	\$2,784,982	\$974,034	\$1,318,317	\$424,038	\$0	\$20,064
33	Film, Television & Entertainment, Office of	\$145,513	\$145,513	\$116,672	\$108,870	\$111,670	\$33,843	\$27,550	\$0
34	Finance and Resource Management, Office of	\$7,523	\$7,523	\$7,523	\$7,523	\$7,523	\$0	\$0	\$0
35	Fire and Emergency Medical Services Department	\$1,685,909	\$1,665,308	\$932,387	\$1,501,623	\$472,756	\$929,667	\$0	\$0
36	Forensic Sciences, Department of	\$333,805	\$333,805	\$323,262	\$157,866	\$179,334	\$78,247	\$0	\$0

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Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
37	General Services, Department of	\$6,970,324	\$6,904,060	\$4,303,930	\$5,375,845	\$2,398,050	\$1,793,754	\$0	\$71,662
38	Greater Economic Opportunity, Deputy Mayor For	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
39	Health and Human Services, Deputy Mayor for	\$42,918	\$42,918	\$42,918	\$42,918	\$26,028	\$0	\$0	\$0
40	Health Benefit Exchange Authority, D.C.	\$16,089,053	\$16,089,053	\$15,919,427	\$6,262,144	\$7,925,245	\$105,734	\$0	\$0
41	Health Care Finance, Department of	\$7,417,840	\$7,417,840	\$1,702,860	\$6,685,431	\$2,008,733	\$888,724	\$0	\$0
42	Health, Department of	\$5,017,445	\$5,017,445	\$3,624,522	\$2,608,588	\$1,770,734	\$1,800,198	\$0	\$70,504
43	Homeland Security and Emergency Management Agency	\$630,965	\$630,965	\$608,201	\$623,424	\$527,593	\$22,089	\$0	\$4,231
44	Homeland Security Grants	\$288,121	\$288,121	\$279,733	\$0	\$279,733	\$8,388	\$0	\$0
45	Housing Finance Agency	\$110,519	\$110,519	\$65,584	\$40,024	\$20,047	\$44,935	\$0	\$0

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Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
46	Housing and Community Development, Department of	\$986,096	\$986,096	\$299,753	\$910,501	\$201,564	\$587,962	\$0	\$0
47	Human Resources, D.C. Department of	\$144,420	\$144,420	\$50,576	\$99,660	\$19,041	\$121,930	\$0	\$0
48	Human Rights, Office of	\$91,653	\$91,653	\$69,620	\$67,371	\$52,502	\$32,657	\$0	\$0
49	Human Services, Department of	\$2,577,548	\$2,577,548	\$2,214,447	\$1,574,123	\$1,832,230	\$198,370	\$0	\$33,425
50	Inspector General, Office of the	\$81,122	\$81,122	\$76,147	\$61,116	\$40,797	\$3,243	\$0	\$0
51	Insurance, Securities and Banking, Department of	\$64,121	\$64,121	\$55,384	\$52,986	\$15,673	\$0	\$0	\$1,026
52	Judicial Nomination Commission	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
53	Latino Affairs, Office on	\$16,660	\$16,660	\$16,660	\$12,287	\$16,660	\$0	\$0	\$0
54	Legal Counsel, Mayor's Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

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Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
55	Lottery & Charitable Games Control Board, D.C.	\$4,943,513	\$4,943,513	\$174,737	\$189,918	\$185,111	\$4,646,359	\$0	\$0
56	Mayor, Executive Office of the	\$88,259	\$88,259	\$74,057	\$46,557	\$71,807	\$0	\$0	\$27,500
57	Metropolitan Police Department	\$5,062,262	\$2,961,631	\$1,426,415	\$1,750,883	\$1,486,592	\$1,293,584	\$0	\$0
58	Motor Vehicles, Department of	\$735,113	\$728,686	\$437,262	\$484,952	\$397,031	\$224,344	\$0	\$0
59	National Guard, D.C.	\$410,765	\$410,765	\$140,352	\$346,206	\$51,823	\$54,458	\$0	\$0
60	Not-for-Profit Hospital Corporation (United Medical Center)	\$156,903	\$141,300	\$111,833	\$148,453	\$9,953	\$77,561	\$0	\$30,902
61	Parks and Recreation, Department of	\$225,208	\$225,208	\$217,483	\$163,756	\$143,180	\$54,237	\$0	\$3,360
62	People's Counsel, Office of the	\$233,430	\$227,220	\$185,915	\$186,633	\$74,898	\$23,043	\$0	\$0

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Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
63	Planning and Economic Development, Office of the Deputy Mayor for	\$597,200	\$574,522	\$308,602	\$445,585	\$196,967	\$12,435	\$0	\$0
64	Planning, Office of	\$50,298	\$50,298	\$32,369	\$37,888	\$37,293	\$7,509	\$0	\$7,185
65	Police Complaints, Office of	\$18,978	\$16,878	\$14,793	\$10,026	\$9,647	\$0	\$0	\$0
66	Public Charter School Board, District of Columbia	\$34,725	\$34,725	\$0	\$814	\$34,725	\$814	\$0	\$0
67	Public Employee Relations Board	\$9,414	\$9,414	\$0	\$271	\$271	\$9,143	\$0	\$0
68	Public Library, District of Columbia	\$1,642,636	\$1,642,636	\$1,383,510	\$1,506,662	\$304,040	\$205,543	\$0	\$79,066
69	Public Safety and Justice, Office of the Deputy Mayor for	\$27,938	\$27,938	\$22,371	\$23,797	\$806	\$0	\$0	\$0
70	Public Schools, D.C.	\$8,317,526	\$8,309,683	\$4,956,490	\$5,244,768	\$4,073,693	\$2,626,565	\$6,050	\$2,407,297
71	Public Service Commission	\$271,431	\$271,431	\$144,449	\$239,629	\$125,278	\$68,536	\$0	\$0

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Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
72	Public Works, Department of	\$5,753,529	\$5,114,528	\$3,657,449	\$5,372,399	\$2,745,721	\$2,625,287	\$543,660	\$32,644
73	Real Property Tax Appeals Commission	\$6,246	\$6,246	\$4,418	\$5,347	\$6,246	\$0	\$0	\$0
74	Retirement Board, D.C.	\$1,155,798	\$1,155,798	\$320,620	\$1,050,750	\$105,048	\$421,383	\$0	\$21,660
75	Risk Management, D.C. Office of	\$92,303	\$92,303	\$76,523	\$92,204	\$91,975	\$45,281	\$0	\$0
76	Secretary, Office of the	\$4,953	\$4,953	\$4,578	\$1,364	\$1,364	\$3,214	\$0	\$0
77	Small and Local Business Development, Department of	\$93,355	\$93,355	\$32,740	\$53,500	\$31,867	\$27,720	\$0	\$0
78	Special Education Transportation	\$973,668	\$971,808	\$793,518	\$309,439	\$700,253	\$17,872	\$0	\$20,280
79	State Board of Education, DC	\$3,725	\$3,725	\$0	\$3,725	\$3,725	\$3,725	\$0	\$0
80	State Superintendent of Education, Office of the	\$3,497,746	\$3,497,746	\$3,276,310	\$1,086,066	\$2,126,619	\$670,451	\$0	\$29,678

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Year-to-Date Fiscal Year 2016 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
81	Taxicab Commission, D.C.	\$543,425	\$543,425	\$342,580	\$357,355	\$194,899	\$124,861	\$0	\$0
82	Tenant Advocate, Office of the	\$44,505	\$44,505	\$5,980	\$38,525	\$0	\$44,505	\$0	\$0
83	Transportation, Department of	\$1,087,234	\$1,041,732	\$783,760	\$815,491	\$184,939	\$104,982	\$45,502	\$17,864
84	Unified Communications, Office of	\$699,969	\$699,969	\$54,430	\$658,672	\$661,921	\$26,875	\$0	\$0
85	University of the District of Columbia	\$5,892,751	\$5,704,122	\$4,128,323	\$4,370,019	\$936,928	\$3,443,706	\$0	\$457,920
86	Veterans' Affairs, Office of	\$1,046	\$1,046	\$1,046	\$1,046	\$1,046	\$0	\$0	\$0
87	Youth Rehabilitation Services, Department of	\$1,612,265	\$1,612,265	\$1,071,096	\$1,398,235	\$409,597	\$550,976	\$0	\$5,045
88	Zoning, Office of	\$71,337	\$71,337	\$12,809	\$17,202	\$14,540	\$47,253	\$0	\$0
		\$134,039,734	\$121,156,937	\$76,960,821	\$83,893,701	\$50,070,789	\$38,280,501	\$5,995,113	\$3,893,282

SOURCE: QuickBase, SOAR, P-Card and CBE Online data as of September 13, 2016. All numbers are rounded to the nearest dollar.

Appendix III

Agencies That Failed to Submit 1st, 2nd, and 3rd Quarter FY 2016 Expenditure Reports, as of September 21, 2016

	Agency	1 st Quarter Report Received	2 nd Quarter Report Received	3 rd Quarter Report Received
1	Administrative Hearings, Office of	No	N/A	N/A
2	Advisory Neighborhood Commissions, Office of	No	N/A	N/A
3	Captive Insurance Agency	N/A	N/A	No
4	Corrections Information Council	No	N/A	N/A
5	Disability Rights, Office of	No	N/A	N/A
6	Education, Deputy Mayor for	No	N/A	N/A
7	Finance and Resource Management, Office of	No	N/A	N/A
8	Greater Economic Opportunity, Deputy Mayor for	No	No	No
9	Homeland Security Grants	No	N/A	N/A
10	Inspector General, Office of the	No	N/A	N/A
11	Judicial Nomination Commission	No	No	No
12	Legal Counsel, Mayor's Office of	N/A	No	No
13	Not-for-Profit Hospital Corporation (United Medical Center)	N/A	N/A	No
14	Public Charter School Board, District of Columbia	N/A	No	No
15	Public Safety and Justice, Office of the Deputy Mayor for	N/A	No	No
16	Retirement Board, D.C.	N/A	No	N/A
17	State Board of Education, DC	No	N/A	N/A