



**District of Columbia Agencies’
Compliance with Small Business
Enterprise Expenditure Goals through
the 1st Quarter of Fiscal Year 2013**

March 21, 2013

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A Report by the Office of the D.C. Auditor
Yolanda Branche, D.C. Auditor



Office of the District of Columbia Auditor

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The Honorable Phil Mendelson, Chairman
Council of the District of Columbia
1350 Pennsylvania Avenue, NW, Suite 504
Washington, D.C. 20004

Letter Report: District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals through the 1st Quarter of Fiscal Year 2013

Dear Chairman Mendelson:

The Office of the District of Columbia Auditor (ODCA) examined and assessed District of Columbia government agencies' compliance with Small Business Enterprise (SBE) expenditure goals through the 1st quarter of Fiscal Year (FY) 2013 (October 1, 2012 through December 31, 2012).¹

Objectives, Scope and Methodology

The objectives of this examination were to:

1. Determine District agencies' SBE expenditures through the 1st quarter of FY 2013; and
2. Determine District agencies' compliance with SBE goal establishment and expenditure reporting requirements.²

The examination covered the period October 1, 2012 through December 31, 2012. We did not conduct the examination as an audit, as defined by the Government Accountability Office's Government Auditing Standards.

In conducting this examination, we reviewed 80 agencies, offices or programs that the Department of Small and Local Business Development (DSLBD) determined were required to (1) procure 50% of their expendable budget for goods and services from

¹ See D.C. Official Code § 2-218.41.

² See D.C. Official Code §2-218.53(a) and (b).

SBEs each fiscal year and (2) submit quarterly SBE expenditure reports, as well as an annual report to DSLBD and ODCA.³ We reviewed appropriated budgets, expendable budgets and reported SBE goals for each agency. We also verified vendors in DSLBD's CBE Online System, reviewed DSLBD's QuickBase data tracking system, and communicated with key staff from DSLBD and several District agency liaisons responsible for SBE compliance reporting.

For 74 of the 80 agencies, we reviewed SBE expenditures using the District's System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement's Purchase Card (P-Card) data. Six of the 80 agencies did not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data for the six agencies. The six agencies are listed below:

1. Events DC
2. Housing Finance Agency
3. D.C. Public Charter School Board
4. D.C. Public Charter Schools
5. D.C. Retirement Board
6. The University of the District of Columbia

³ DSLBD QuickBase Data Tracking System, as of March 13, 2013.

Background

The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (the Act), as amended, states that “it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in and serving the District of Columbia, particularly certified business enterprises, with the intended goals of:

- (A) Stimulating and expanding the local tax base of the District of Columbia;
- (B) Increasing the number of viable employment opportunities for District residents; and
- (C) Extending economic prosperity to local business owners, their employees, and the communities they serve.”

The Act tasks DSLBD with providing “advocacy, business development programs, and technical assistance offerings” that will “maximize opportunities for certified business enterprises to participate in:

- (A) The District's contracting and procurement process;
- (B) The District's economic development activities; and
- (C) Federal and private sector business opportunities that occur in the District of Columbia.”⁴

The Act also establishes the following categories of businesses: Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Businesses (VOBs).

The Act requires each District agency to contract 50% of the dollar volume of its goods and services to SBEs each fiscal year. Additionally, it requires agencies to submit quarterly SBE expenditure reports to DSLBD and ODCA. The Act contains no expenditure requirements for LBEs, DBEs, DZEs, ROBs, LRBs, LMEs, or VOBs.

To establish FY 2013 SBE goals, DSLBD required each agency to access QuickBase, DSLBD’s electronic database containing all budget, SBE goal and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in QuickBase, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD’s approval, the QuickBase

⁴ See D.C. Official Code §2-218.13(a).

system subtracted the exclusions from the agency's appropriated budget to determine the agency's expendable budget. To determine the SBE goal, the QuickBase system multiplied the expendable budget by 50%.

Results of the Auditor's Examination

According to DSLBD's QuickBase database as of March 13, 2013, the 80 agencies we assessed had a total FY 2013 reported appropriated budget of \$9,968,288,651 and a total reported expendable budget for goods and services of \$1,266,418,632. Based on the expendable budget of \$1,266,418,632, the FY 2013 SBE expenditure goal for the 80 agencies was \$633,209,321.

The 80 agencies' FY 2013 reported appropriated budgets, expendable budgets and SBE goals are listed in Appendix I.

1st Quarter Results

We found that the 80 agencies, as a whole, spent a total of \$25,402,477 with SBEs through the 1st quarter of FY 2013 or 4% towards the \$633,209,321 total FY 2013 SBE expenditure goal for all of the 80 agencies. The FY 2013 1st quarter SBE expenditures for each of the 80 agencies are listed in Appendix I.

Total expenditures of the 80 agencies on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Businesses (VOBs) through the 1st quarter of FY 2013 are presented in Appendix II.

According to DSLBD's QuickBase database, as of March 13, 2013, eight of the 80 agencies had not properly documented exclusions to their appropriated budget that they could not reasonably be expected to obtain from SBEs. Therefore, DSLBD calculated the SBE goals of the following eight agencies by multiplying their entire appropriated budget by 50%:

1. Office of Advisory Neighborhood Commissions
2. Office of the Chief Medical Examiner
3. Office of Employee Appeals
4. Board of Ethics and Government Accountability
5. Housing Finance Agency
6. D.C. Public Charter Schools
7. Public Employee Relations Board
8. Board of Real Property Assessments and Appeals

According to DSLBD's QuickBase database, as of March 13, 2013, 14 agencies did not submit the required quarterly expenditure report for the 1st quarter of FY 2013. All agencies that failed to submit the required expenditure report for the 1st quarter of FY 2013 are listed in Appendix III.

Four of the 32 agencies that did not submit the required quarterly expenditure report for the 1st quarter of FY 2013 (the Housing Finance Agency, the D.C. Public Charter School Board, D.C. Public Charter Schools, and the D.C. Retirement Board) did not report expenditures through SOAR, leaving the expenditures toward their combined SBE expenditure goal of \$281,796,719 unexamined by either the Auditor or DSLBD. As a result, there is an incomplete presentation of the District's overall SBE goal attainment.

One agency, the D.C. Public Schools, exceeded its reported annual FY 2013 SBE expenditure goal by an excessively large amount by the end of the 1st quarter, raising questions about the validity of the D.C. Public Schools' reported expendable budget.

DSLBD did not establish an SBE expenditure goal for the newly established Department of Forensic Sciences, which commenced operations on October 1, 2012. Therefore, this examination of SBE expenditures did not include a review of the SBE expenditures of the Department of Forensic Sciences.

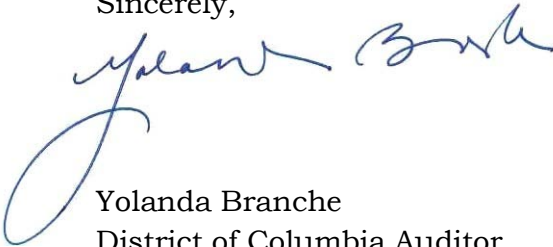
Recommendations:

1. Within 30 days of the release of this report, DSLBD should notify (1) the Chairman of the Council Committee responsible for providing oversight of the non-compliant agency; (2) the Chairman of the Council Committee on Small and Local Business Development; and (3) the Mayor that the 14 agencies listed in this report failed to submit the required expenditure report for the 1st quarter of FY 2013.
2. Within 30 days of the release of this report, DSLBD should review the SBE goal, procurement plan and purchases of the D.C. Public Schools, which exceeded its FY 2013 goal by an excessively large amount by the end of the 1st quarter of FY 2013.
3. Within 30 days of the release of this report, DSLBD should review the status of the four agencies that did not submit reports and do not record expenditures in SOAR to determine the best method for accurately capturing their expenditures.
4. Within 30 days of the release of this report, DSLBD should obtain the budget, budget exclusions, procurement plan, and expenditures of the Department of Forensic Sciences and finalize an SBE expenditure goal for the agency.

Conclusion

Based on our examination, we found that 80 agencies collectively spent \$25,402,477 with SBEs through the 1st quarter of FY 2013 or 4% of the cumulative reported SBE goal of \$633,209,321. Of the 80 agencies, only the D.C. Public Schools exceeded its FY 2013 expenditure goal by the end of the 1st quarter, spending a total of \$1,825,234.

Sincerely,

A handwritten signature in blue ink, appearing to read "Yolanda Branche". The signature is fluid and cursive, with a large loop at the beginning of the first name.

Yolanda Branche
District of Columbia Auditor

Appendices

Appendix I

FY 2013 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	% of SBE Goal Achieved
1	Administrative Hearings, Office of	\$9,235,000	\$503,327	\$251,663	\$23,896	9%
2	Advisory Neighborhood Commissions, Office of	\$894,000	\$894,000	\$447,000	\$206	0%
3	Aging, Office on	\$25,544,000	\$5,905,584	\$2,952,792	\$5,822	0%
4	Alcohol Beverage Regulation Administration	\$6,835,000	\$313,264	\$156,632	\$14,910	10%
5	Arts and Humanities, Commission on	\$17,135,000	\$1,275,863	\$637,932	\$10,084	2%
6	Asian and Pacific Islander Affairs, Office on	\$780,000	\$3,155	\$1,577	\$602	38%
7	Attorney General for the District of Columbia, Office of the	\$96,650,000	\$2,319,257	\$1,159,629	\$157,268	14%
8	Auditor, Office of the D.C.	\$4,276,000	\$30,018	\$15,009	\$626	4%
9	Cable Television, Office of	\$8,592,000	\$305,889	\$152,944	\$5,291	3%
10	Campaign Finance, Office of	\$2,601,000	\$147,100	\$73,550	\$0	0%
11	Chief Financial Officer, Office of the	\$151,613,000	\$59,250,596	\$29,625,298	\$965,662	3%
12	Chief Medical Examiner, Office of the	\$7,924,000	\$7,924,000	\$3,962,000	\$9,589	0%
13	Chief Technology Officer, Office of the	\$80,708,000	\$44,045,848	\$22,022,924	\$994,550	5%
14	Child and Family Services Agency	\$257,067,000	\$419,058	\$209,529	\$114,383	55%
15	City Administrator, Office of the	\$3,689,000	\$140,449	\$70,225	\$12,482	18%

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FY 2013 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	% of SBE Goal Achieved
16	Consumer & Regulatory Affairs, Department of	\$32,952,000	\$5,607,332	\$2,803,666	\$242,041	9%
17	Contract Appeals Board	\$1,051,000	\$6,000	\$3,000	\$171	6%
18	Contracting and Procurement, Office of	\$8,971,000	\$407,032	\$203,516	\$63,494	31%
19	Corrections, Department of	\$144,954,000	\$15,420,599	\$7,710,300	\$207,308	3%
20	D.C. Taxicab Commission	\$1,891,000	\$96,278	\$48,139	\$25,533	53%
21	Disability Rights, Office of	\$1,840,000	\$57,217	\$28,608	\$0	0%
22	Disability Services, Department on	\$93,113,000	\$297,022	\$148,511	\$111,743	75%
23	District of Columbia Public Charter School Board	\$3,495,000	\$236,225	\$118,113	N/A	N/A
24	Education, Deputy Mayor for	\$2,303,000	\$321,457	\$160,728	\$404	0%
25	Elections, Board of	\$5,962,000	\$643,003	\$321,502	\$71,492	22%
26	Employee Appeals, Office of	\$1,468,000	\$1,468,000	\$734,000	\$5,841	1%
27	Employees' Compensation Fund	\$19,822,000	\$100,178	\$50,089	\$24,480	49%
28	Employment Services, Department of	\$122,179,000	\$1,261,571	\$630,786	\$39,301	6%
29	Environment, District Department of the	\$95,162,000	\$3,979,783	\$1,989,892	\$53,885	3%
30	Ethics and Government Accountability, Board of	\$1,039,000	\$1,039,000	\$519,500	\$4,940	1%

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FY 2013 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	% of SBE Goal Achieved
31	Events DC ⁵	\$129,711,245	\$32,687,367	\$16,343,684	\$12,262,401	75%
32	Finance and Resource Management, Office of	\$32,638,000	\$87,367	\$43,684	\$5,290	12%
33	Fire and Emergency Medical Services Department	\$206,042,000	\$5,253,687	\$2,626,844	\$176,722	7%
34	General Services, Department of	\$413,886,000	\$127,065,676	\$63,532,838	\$2,736,636	4%
35	Health and Human Services, Deputy Mayor for	\$597,000	\$21,492	\$10,746	\$0	0%
36	Health Care Finance, Department of	\$2,489,196,000	\$94,054,343	\$47,027,172	\$122,642	0%
37	Health, Department of	\$272,394,000	\$6,945,095	\$3,472,547	\$406,941	12%
38	Homeland Security and Emergency Management Agency	\$102,039,000	\$15,510,310	\$7,755,155	\$128,967	2%
39	Housing Finance Agency	\$8,735,000	\$8,735,000	\$4,367,500	N/A	N/A
40	Housing and Community Development, Department of	\$125,842,000	\$25,548,165	\$12,774,083	\$4,815	0%
41	Human Resources, D.C. Department of	\$11,653,257	\$180,560	\$90,280	\$69,034	76%
42	Human Rights, Office of	\$2,436,000	\$105,506	\$52,753	\$2,470	5%
43	Human Services, Department of	\$354,330,000	\$13,828,308	\$6,914,154	\$65,370	1%

⁵ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

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FY 2013 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	% of SBE Goal Achieved
44	Inspector General, Office of the	\$15,686,000	\$247,334	\$123,667	\$39,981	32%
45	Insurance, Securities and Banking, Department of	\$20,860,000	\$797,061	\$398,531	\$31,556	8%
46	Latino Affairs, Office on	\$2,884,852	\$113,363	\$56,682	\$873	2%
47	Lottery & Charitable Games Control Board, D.C.	\$250,000,000	\$11,115,289	\$5,557,645	\$808,499	15%
48	Mayor, Executive Office of the	\$12,352,000	\$324,712	\$162,356	\$13,508	8%
49	Medical Liability Captive Insurance Agency	\$2,496,000	\$10,150	\$5,075	\$0	0%
50	Mental Health, Department of	\$200,250,000	\$35,447,350	\$17,723,675	\$101,077	1%
51	Metropolitan Police Department	\$519,476,000	\$9,224,286	\$4,612,143	\$417,000	9%
52	Motion Picture and Television Development, Office of	\$869,000	\$234,979	\$117,489	\$7,918	7%
53	Motor Vehicles, Department of	\$37,423,000	\$468,536	\$234,268	\$17,731	8%
54	National Guard, D.C.	\$8,815,000	\$459,779	\$229,889	\$0	0%
55	Parks and Recreation, Department of	\$67,489,000	\$2,574,103	\$1,287,051	\$8,035	1%
56	People's Counsel, Office of the	\$6,116,000	\$473,158	\$236,579	\$27,899	12%
57	Planning and Economic Development, Office of the Deputy Mayor for	\$108,323,000	\$5,836,319	\$2,918,159	\$33,824	1%
58	Planning, Office of	\$17,097,000	\$1,773,114	\$886,557	\$21,182	2%

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FY 2013 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	% of SBE Goal Achieved
59	Police Complaints, Office of	\$2,091,000	\$73,983	\$36,991	\$6,676	18%
60	Public Charter Schools, D.C.	\$542,031,000	\$542,031,000	\$271,015,500	N/A	N/A
61	Public Employee Relations Board	\$1,151,000	\$1,151,000	\$575,500	\$2,034	0%
62	Public Library, District of Columbia	\$66,569,000	\$26,770,882	\$13,385,441	\$241,038	2%
63	Public Safety and Justice, Deputy Mayor for	\$18,608,000	\$61,084	\$30,542	\$5,119	17%
64	Public Schools, D.C.	\$1,197,204,000	\$2,273,873	\$1,136,937	\$1,825,234	161%
65	Public Service Commission	\$10,823,000	\$644,933	\$322,467	\$56,561	18%
66	Public Works, Department of	\$133,541,000	\$19,360,710	\$9,680,355	\$253,714	3%
67	Real Property Assessments and Appeals, Board of	\$1,663,000	\$1,663,000	\$831,500	\$10,832	1%
68	Retirement Board, D.C.	\$30,338,000	\$12,591,211	\$6,295,606	N/A	N/A
69	Risk Management, D.C. Office of	\$2,962,000	\$40,809	\$20,405	\$0	0%
70	Secretary, Office of the	\$3,746,000	\$636,208	\$318,104	\$9,112	3%
71	Small and Local Business Development, Department of	\$7,702,297	\$255,146	\$127,573	\$1,143	1%
72	Special Education Transportation	\$98,347,000	\$499,726	\$249,863	\$20,097	8%
73	State Superintendent of Education, Office of the	\$435,861,000	\$11,652,018	\$5,826,009	\$313,402	5%

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FY 2013 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	% of SBE Goal Achieved
74	Tenant Advocate, Office of the	\$2,064,000	\$155,019	\$77,509	\$299	0%
75	Transportation, Department of	\$421,767,000	\$17,709,925	\$8,854,963	\$122,853	1%
76	Unified Communications, Office of	\$44,087,000	\$15,881,636	\$7,940,818	\$7,327	0%
77	University of the District of Columbia ⁶	\$208,610,000	\$56,011,128	\$28,005,564	\$1,598,665	6%
78	Veterans' Affairs, Office of	\$394,000	\$24,550	\$12,275	\$0	0%
79	Youth Rehabilitation Services, Department of	\$106,728,000	\$3,002,492	\$1,501,246	\$212,758	14%
80	Zoning, Office of	\$2,620,000	\$387,785	\$193,893	\$39,238	20%
	TOTAL	\$9,968,288,651	\$1,266,418,632	\$633,209,321	\$25,402,477⁷	

SOURCE: QuickBase data as of March 13, 2013. SOAR, P-Card, and CBE Online data as of February 28, 2013. All numbers are rounded to the nearest dollar.

⁶ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

⁷ \$25,402,477 represents 4% of the FY 2013 SBE expenditure goal of \$633,209,321.

Appendix II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Businesses (VOBs) for the 1st Quarter of FY 2013

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
1	Administrative Hearings, Office of	\$23,896	\$23,896	\$196	\$23,700	\$6,640	\$23,700	\$0	\$0
2	Advisory Neighborhood Commissions, Office of	\$206	\$206	\$0	\$0	\$0	\$206	\$0	\$0
3	Aging, Office on	\$5,822	\$5,822	\$0	\$1,052	\$5,354	\$4,770	\$0	\$0
4	Alcohol Beverage Regulation Administration	\$14,910	\$14,910	\$6,388	\$5,969	\$3,775	\$3,090	\$0	\$0
5	Arts and Humanities, Commission on	\$10,084	\$10,084	\$9,132	\$4,669	\$4,669	\$0	\$0	\$0
6	Asian and Pacific Islander Affairs, Office on	\$602	\$602	\$602	\$602	\$0	\$0	\$0	\$0
7	Attorney General for the District of Columbia, Office of the	\$162,207	\$157,268	\$121,717	\$25,115	\$8,439	\$24,640	\$0	\$6,249
8	Auditor, Office of the D.C.	\$626	\$626	\$0	\$555	\$254	\$373	\$0	\$0
9	Cable Television, Office of	\$5,291	\$5,291	\$849	\$3,469	\$1,356	\$1,264	\$0	\$0
10	Campaign Finance, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
11	Chief Financial Officer, Office of the	\$1,156,894	\$965,662	\$121,130	\$1,102,837	\$735,877	\$68,677	\$0	\$2,490
12	Chief Medical Examiner, Office of the	\$9,589	\$9,589	\$5,064	\$5,633	\$1,691	\$5,638	\$0	\$0
13	Chief Technology Officer, Office of the	\$4,555,013	\$994,550	\$838,579	\$4,162,080	\$994,361	\$189	\$0	\$7,051

Appendix II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Businesses (VOBs) for the 1st Quarter of FY 2013

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
14	Child and Family Services Agency	\$173,341	\$114,383	\$93,641	\$167,219	\$5,737	\$21,404	\$0	\$0
15	City Administrator, Office of the	\$12,482	\$12,482	\$3,243	\$11,686	\$9,890	\$5,792	\$0	\$0
16	Consumer & Regulatory Affairs, Department of	\$242,041	\$242,041	\$35,747	\$201,345	\$14,309	\$26,988	\$0	\$0
17	Contract Appeals Board	\$171	\$171	\$0	\$171	\$0	\$171	\$0	\$0
18	Contracting and Procurement, Office of	\$85,490	\$63,494	\$36,322	\$68,352	\$26,487	\$26,177	\$21,996	\$0
19	Corrections, Department of	\$207,308	\$207,308	\$60,694	\$66,581	\$61,141	\$0	\$0	\$6,204
20	D.C. Taxicab Commission	\$25,533	\$25,533	\$20,292	\$23,113	\$15,624	\$1,950	\$0	\$0
21	Disability Rights, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
22	Disability Services, Department on	\$175,677	\$111,743	\$8,282	\$47,472	\$118,813	\$11,830	\$0	\$89,800
23	District of Columbia Public Charter School Board	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
24	Education, Deputy Mayor for	\$404	\$404	\$404	\$226	\$404	\$0	\$0	\$0
25	Elections, Board of	\$71,492	\$71,492	\$12,646	\$13,218	\$46,863	\$22,763	\$0	\$0
26	Employee Appeals, Office of	\$5,841	\$5,841	\$0	\$0	\$0	\$5,841	\$0	\$0
27	Employees' Compensation Fund	\$24,480	\$24,480	\$0	\$0	\$24,480	\$0	\$0	\$0

Appendix II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Businesses (VOBs) for the 1st Quarter of FY 2013

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
28	Employment Services, Department of	\$318,191	\$39,301	\$10,115	\$314,687	\$15,478	\$30,398	\$1,010	\$0
29	Environment, District Department of the	\$99,981	\$53,885	\$19,536	\$78,168	\$19,324	\$20,415	\$0	\$0
30	Ethics and Government Accountability, The Board of	\$4,940	\$4,940	\$0	\$4,940	\$0	\$0	\$0	\$0
31	Events DC ⁸	\$12,309,283	\$12,262,401	\$1,328,642	\$10,815,242	\$9,534,992	\$8,188,111	\$0	\$32,822
32	Finance and Resource Management, Office of	\$5,290	\$5,290	\$0	\$4,788	\$2,838	\$501	\$0	\$0
33	Fire and Emergency Medical Services Department	\$176,722	\$176,722	\$22,182	\$160,231	\$14,457	\$31,351	\$0	\$0
34	General Services, Department of	\$2,736,636	\$2,736,636	\$1,955,956	\$2,095,246	\$1,355,541	\$356,087	\$0	\$0
35	Health and Human Services, Deputy Mayor for	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
36	Health Care Finance, Department of	\$247,484	\$122,642	\$62,358	\$159,767	\$9,497	\$55,809	\$0	\$0
37	Health, Department of	\$406,941	\$406,941	\$118,759	\$313,032	\$81,619	\$130,072	\$0	\$0
38	Homeland Security and Emergency Management Agency	\$271,057	\$128,967	\$0	\$267,205	\$110,230	\$3,852	\$0	\$0
39	Housing Finance Agency	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

⁸ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

Appendix II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Businesses (VOBs) for the 1st Quarter of FY 2013

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
40	Housing and Community Development, Department of	\$4,815	\$4,815	\$0	\$465	\$260	\$4,555	\$0	\$0
41	Human Resources, D.C. Department of	\$69,034	\$69,034	\$68,577	\$51,281	\$10,880	\$10,780	\$0	\$0
42	Human Rights, Office of	\$2,470	\$2,470	\$0	\$2,470	\$170	\$2,300	\$0	\$0
43	Human Services, Department of	\$69,895	\$65,370	\$29,104	\$24,385	\$11,535	\$31,327	\$0	\$0
44	Inspector General, Office of the	\$39,981	\$39,981	\$17,539	\$33,025	\$18,611	\$1,570	\$0	\$0
45	Insurance, Securities and Banking, Department of	\$31,556	\$31,556	\$27,136	\$25,611	\$26,751	\$240	\$0	\$0
46	Latino Affairs, Office on	\$873	\$873	\$873	\$873	\$873	\$0	\$0	\$0
47	Lottery & Charitable Games Control Board, D.C.	\$808,499	\$808,499	\$28,594	\$111,052	\$0	\$699,755	\$0	\$0
48	Mayor, Executive Office of the	\$13,508	\$13,508	\$7,370	\$4,002	\$6,929	\$8,176	\$0	\$0
49	Medical Liability Captive Insurance Agency	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
50	Mental Health, Department of	\$101,077	\$101,077	\$5,885	\$93,384	\$36,694	\$41,970	\$0	\$0
51	Metropolitan Police Department	\$1,082,105	\$417,000	\$93,917	\$1,011,835	\$103,208	\$26,594	\$0	\$0
52	Motion Picture and Television Development, Office of	\$7,918	\$7,918	\$7,026	\$830	\$830	\$800	\$800	\$0
53	Motor Vehicles, Department of	\$17,731	\$17,731	\$1,112	\$1,112	\$0	\$1,618	\$0	\$0

Appendix II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Businesses (VOBs) for the 1st Quarter of FY 2013

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
54	National Guard, D.C.	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
55	Parks and Recreation, Department of	\$8,035	\$8,035	\$7,342	\$8,035	\$2,495	\$60	\$0	\$0
56	People's Counsel, Office of the	\$27,899	\$27,899	\$7,925	\$19,973	\$3,561	\$15,574	\$0	\$0
57	Planning and Economic Development, Office of the Deputy Mayor for	\$33,824	\$33,824	\$30,565	\$31,868	\$3,303	\$2,827	\$0	\$0
58	Planning, Office of	\$21,182	\$21,182	\$3,390	\$8,794	\$2,075	\$7,354	\$1,238	\$3,600
59	Police Complaints, Office of	\$6,676	\$6,676	\$1,550	\$6,479	\$6,350	\$5,126	\$0	\$0
60	Public Charter Schools, D.C.	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
61	Public Employee Relations Board	\$2,034	\$2,034	\$0	\$256	\$256	\$1,778	\$0	\$0
62	Public Library, District of Columbia	\$241,038	\$241,038	\$145,842	\$235,004	\$18,955	\$26,259	\$0	\$0
63	Public Safety and Justice, Deputy Mayor for	\$5,119	\$5,119	\$2,361	\$482	\$0	\$2,758	\$0	\$0
64	Public Schools, D.C.	\$1,825,234	\$1,825,234	\$1,370,773	\$878,504	\$95,220	\$194,489	\$0	\$0
65	Public Service Commission	\$56,561	\$56,561	\$3,104	\$56,157	\$37,473	\$12,375	\$0	\$0
66	Public Works, Department of	\$456,305	\$253,714	\$172,164	\$286,848	\$112,114	\$157,955	\$0	\$0

Appendix II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Businesses (VOBs) for the 1st Quarter of FY 2013

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
67	Real Property Assessments and Appeals, Board of	\$10,832	\$10,832	\$6,569	\$5,752	\$5,752	\$3,070	\$0	\$0
68	Retirement Board, D.C.	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
69	Risk Management, D.C. Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
70	Secretary, Office of the	\$9,112	\$9,112	\$0	\$90	\$0	\$5,647	\$0	\$0
71	Small and Local Business Development, Department of	\$1,143	\$1,143	\$0	\$0	\$0	\$1,143	\$0	\$0
72	Special Education Transportation	\$67,826	\$20,097	\$3,705	\$15,762	\$0	\$4,335	\$0	\$0
73	State Superintendent of Education, Office of the	\$475,567	\$313,402	\$292,371	\$185,419	\$283,578	\$11,907	\$0	\$0
74	Tenant Advocate, Office of the	\$299	\$299	\$299	\$299	\$299	\$0	\$0	\$0
75	Transportation, Department of	\$208,231	\$122,853	\$19,012	\$187,031	\$15,267	\$14,184	\$3,145	\$0
76	Unified Communications, Office of	\$276,816	\$7,327	\$7,192	\$276,512	\$7,192	\$0	\$0	\$0
77	University of the District of Columbia ⁹	\$1,649,871	\$1,598,665	\$104,653	\$1,395,254	\$243,320	\$97,437	\$0	\$4,387
78	Veterans' Affairs, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

⁹ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

Appendix II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Businesses (VOBs) for the 1st Quarter of FY 2013

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
79	Youth Rehabilitation Services, Department of	\$214,264	\$212,758	\$98,584	\$165,191	\$108,233	\$20,178	\$0	\$0
80	Zoning, Office of	\$45,836	\$39,238	\$3,641	\$40,544	\$33,080	\$28,394	\$0	\$0
	TOTAL	\$31,439,089	\$25,402,477	\$7,460,654	\$25,312,948	\$14,425,407	\$10,514,592	\$28,189	\$152,602

SOURCE: SOAR, P-Card, and CBE Online data as of February 28, 2013. All numbers are rounded to the nearest dollar.

Appendix III

Agencies That Failed to Submit the 1st Quarter FY 2013 Expenditure Report, as of March 13, 2013

	Agency	1st Quarter Report Received
1	Advisory Neighborhood Commissions, Office of	No
2	Arts and Humanities, Commission on	No
3	City Administrator, Office of the	No
4	Contracting and Procurement, Office of	No
5	Employee Appeals, Office of	No
6	Disability Rights, Office of	No
7	Education, Deputy Mayor for	No
8	Health and Human Services, Deputy Mayor for	No
9	Housing Finance Agency	No
10	Public Charter Schools, D.C.	No
11	Public Charter School Board, D.C.	No
12	Real Property Assessments and Appeals, Board of	No
13	Retirement Board, D.C.	No
14	Taxi Cab Commission, D.C	No

SOURCE: DSLBD's QuickBase database as of March 13, 2013